



Atty. Dkt. No. SALK1650-6
(088802-2758)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Marc. R. Montminy
Title: METHODS FOR TREATING
DIABETES MELLITUS
Appl. No.: 10/628,957
Filing Date: 07/28/2003
Examiner:
Art Unit:

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| <p>CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, Virginia 22313-1450, on the date below.</p> <p><u>DIANE GARCIA</u> (Printed Name)</p> <p><u>Diane Garcia</u> (Signature)</p> <p><u>11-6-03</u> (Date of Deposit)</p> |
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INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.56

Commissioner for Patents
PO Box 1450
Alexandria, Virginia 22313-1450

Sir:

Applicant submits herewith a listing of the documents cited by or submitted to the U.S. PTO in related application Serial Nos. 08/961,739; 09/515,276; and 09/686,316, filed 10/31/1997; 02/29/2000; and 10/10/2000 respectfully. As provided in 37 CFR §1.98(d), copies of the documents are not being provided since they were previously submitted to the United States Patent & Trademark Office in the above-identified parent application.

Also submitted herewith on a form PTO-SB08 is a listing of documents cited in the International Search Report for related international application PCT/US95/01325. Copies of the documents cited in the search report are being provided herewith.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR

§1.56(b). Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing date of the first Office Action on the merits.

RELEVANCE OF EACH DOCUMENT

All of the documents are in English.

Applicant respectfully requests that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of all forms be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 50-0872. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 50-0872.

Respectfully submitted,

Date November 6, 2013

By Barry Wilson

FOLEY & LARDNER

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Barry S. Wilson

Attorney for Applicant

Registration No. 39,431

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Substitute for form 1449B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

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of

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Complete if Known

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| Application Number | 10/628,957 |
| Filing Date | 07/28/2003 |
| First Named Inventor | Marc. R. Montminy |
| Group Art Unit | |
| Examiner Name | |
| Attorney Docket Number | SALK1650-6 (088802-2758) |

U.S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | U.S. Patent Document | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|--------------------|-----------------------|----------------------|-----------------------------------|---|---|---|
| | | Number | Kind Code ² (if known) | | | |
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FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | | | Name of Patentee or Applicant of Cited Documents | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁶ |
|--------------------|-----------------------|-------------------------|---------------------|-----------------------------------|--|---|---|----------------|
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NON PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ⁶ |
|--------------------|-----------------------|--|----------------|
| | A1 | International Search Report for application PCT/US95/01325. | |
| | A2 | Chrivia et al., "Phosphorylated CREB binds specifically to the nuclear protein CBP." Nature, 365:855-859, 1993. | |
| | A3 | Lee and Masson, "Transcriptional regulation by CREB and its relatives." Biochimica et Biophysica Acta, 1174:221-233, 1993. | |
| | A4 | Masson et al., "Identification of proteins that interact with CREB during differentiation of F9 embryonal carcinoma cells." Nucleic Acid Research, 21(5): 1163-1169, 1993. | |
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Examiner
Signature

Date
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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| Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT Date Submitted: November 27, 2002 <i>(use as many sheets as necessary)</i> | | | | Complete if Known | |
| | | | | Application Number | 09/592,952 |
| | | | | Filing Dat | 06/13/2000 |
| | | | | First Named Inventor | Elly Bak |
| | | | | Group Art Unit | 1661 |
| | | | | Examiner Name | Kent Bell |
| Sheet | 1 | of | 1 | Attorney Docket Number | 018786-0125 |

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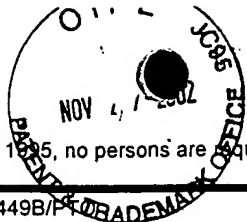
[illegible]

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| Examiner Signature | | Date Considered | |
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Date Submitted: November 27, 2002

(use as many sheets as necessary)

Complete if Known:

Application Number ~~09/592,952~~ 09/515,276

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| Filing Date | 06/13/2000 2/9/00 |
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| First Named Inventor | Elly Bak Montmin |
|----------------------|-----------------------------|

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|----------------|----------------------|
| Group Art Unit | 1661 1648 |
|----------------|----------------------|

Examiner Name ~~Kent Bell~~ Donna Wortman

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|------------------------|-------------|
| Attorney Docket Number | 018786-0125 |
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| Sheet | 1 | of | 1 |
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|--|---------------------------------|---------------------------|
| FORM PTO 1449 US Department of Commerce Patent and Trademark Office | ATTY DOCKET NO.: SALK1650-1 | SERIAL NO.: 08/961,739 |
| | APPLICANT(S): Marc. R. Montminy | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT | FILING DATE: Oct. 31, 1997 | GROUP ART UNIT: N/A |

U.S. PATENT DOCUMENTS

| EXAM. INITIALS | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB- CLASS | FILING DATE |
|-------------------|--|--------------------|------|------|-------|---------------|----------------|
| | | NONE | | | | | |

FOREIGN PATENT DOCUMENTS

| EXAM. INITIALS | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB- CLASS | TRANSLATION (YES/NO) |
|-------------------|--|--------------------|------|---------|-------|---------------|-------------------------|
| | | NONE | | | | | |

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| | | Alberts et al., "Protein Phosphatase 2A Potentiates Activity of Promoters Containing AP-1-Binding Elements" in <i>Mol. and Cell Biol.</i> 13:2104-2112 (1993) |
| BOOK | | Ausubel et al., <i>Current Protocols in Molecular Biology</i> (Greene Publishing Associates, Inc. and John Wiley & Sons, Inc. 1993) |
| | | Boyle et al., "Activation of Protein Kinase C Decreases Phosphorylation of c-Jun at Sites That Negatively Regulate Its DNA-Binding Activity" in <i>Cell</i> 64:573-584 (1991) |
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| BOOK | | Harlow and Lane, <i>Antibodies: A Laboratory Manual</i> (Cold Spring Harbor Laboratory 1988) |
| | | Hibi et al., "Identification of an oncoprotein-and UV-responsive protein kinase that binds and potentiates the c-Jun activation domain" in <i>Genes and Develop.</i> 7:2135-2148 (1993) |
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| | | Nakajima et al., "Analysis of a cAMP-responsive activator reveals a two-component mechanism for transcriptional induction via signal-dependent factors" <i>Genes Dev</i> (1997) 11(6):738-747 |
| | | Parker et al., "Phosphorylation of CREB at Ser-133 Induces Complex Formation with CREB-Binding Protein via a Direct Mechanism" <i>Mol Cell Biol</i> (1996) 16(2):694-703 |
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